

Prime Clerk

830 Third Ave.
New York, NY 10022-3339

Case: 17-03283-LTS Doc#: 7863-1 Filed: 07/08/19 Entered: 07/09/19 09:50:38 Desc:

Exhibit Page 1 of 8



U.S. POSTAGE PITNEY BOWES

ZIP 11232 \$ 000.35⁰
02 4W
0000349804 MAR 26 2018

In re Commonwealth of Puerto Rico

Case No. 17-03283

United States Bankruptcy Court for the District of Puerto Rico (San Juan)

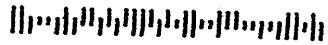
**PRIME CLERK RECEIVED YOUR
PROOF OF CLAIM.**

Date Filed: 2/27/2018

Proof of Claim No.: 1337

For additional information, please visit
<http://cases.primeclerk.com/puertorico>, or
call us at 844.822.9231.

HECTOR SANTOS DIAZ
URB EL ROCIO
37 CALLE LIMONCILLO
CAYEY, PR 00736-4880



ORIENTAL FINANCIAL SERVICES CORP
PROFESSIONAL OFFICE PARK V
997 SAN ROBERTO STREET
ORIENTAL TOWER 9TH FLOOR
SAN JUAN PR 00926
TEL:(787)474-1993

GO Paperless
Ask about e-delivery

IF YOU WOULD LIKE TO RECEIVE YOUR TRADE CONFIRMATIONS
ONLINE, PLEASE CONTACT YOUR INVESTMENT PROFESSIONAL
OR FINANCIAL ORGANIZATION.

CONFIRMATION

MAIL TO:

00026521 01 SP 0.450 01 TR 00096 XPVL1MD2 000000
HECTOR LUIS SANTOS-DIAZ
URB EL ROCIO #37
CALLE LIMONCILLO
CAYEY PR 00736



For the account of:

HECTOR LUIS SANTOS-DIAZ
URB EL ROCIO #37

Account Number: **Redacted 6610**

Account Type: 1

Your Investment Advisor: Francisco J. Fernandez

Your Investment Advisor Number: 175

For additional information, please see the reverse side.

You Bought:

PUERTO RICO COMWLTH GOVT DEV BK TAXABLE-SR NTS-SER B
INT RATE 4.704% MATURITY 05/01/2016 DATED 05/26/2011
BOOK ENTRY ONLY 1ST CPN DTE 11/01/11 CPN PMT SEMI ANNUAL
ON MAY 01 AND NOV 01 MOODY RATING BAA1 S & P RATING BBB
SUBJECT TO MAKE WHOLE CALL TAX EXEMPT - PUERTO
RICO RESIDENTS ONLY REVENUE BOND

Trade Date: 09-26-12
Process Date: 09-26-12
Settlement Date: 10-01-12
Cusip: 745177-EX-9

We confirm the below trade, subject to the terms and conditions set forth on this confirmation.

TRADE NUMBER	QUANTITY	PRICE	PRINCIPAL	INTEREST	COMMISSION COMM EQUIV	SERVICE CHARGE	TRANS FEE	NET AMOUNT USD	CAPACITY
P41RVF	100,000	105.025	105,025.00	1,960.00		6.31		106,991.31	5

Tx Ex For Pr Rsdnts Unsolicited Order

Yield 3.208% To Maturity

Additional Call features exist that may affect yield; Complete information will be provided upon request

A copy of the official statement for this investment is available, or will be available by settlement date, at www.emma.msrb.org. Place the nine-digit CUSIP number listed above in the search field and press enter. If you do not have access to the Internet or would prefer a physical copy of the official statement, please contact your investment professional.

Additional Information that May Be Available on EMMA:

Advance refunding documents * Continuing disclosures, including annual financial statements and notices of material events * Real-time and historical trade data * Interest rates and auction results for municipal auction rate securities * Interest rates for variable rate demand obligations * Daily market statistics and educational material about municipal bonds

Locator No 16209260227730005

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TEL:(787)474-1993



Statement Period: 09/26/2012 - 09/30/2012

Transactions by Type of Activity (continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/28/12	FOREIGN TAX WITHHELD AT THE SOURCE	PUERTO RICO CASH & FOREIGN TAX WITHHELD				-0.05	USD
Total Taxes Withheld						\$0.00	-\$0.05
Total Value of all Transactions						\$0.00	\$150,116.33

The price and quantity displayed may have been rounded.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Trades Not Settled

Settlement Date	Trade Date	Activity Type	Description	Quantity	Price	Accrued Interest	Settlement Amount
10/01/12	09/26/12	Buy	PUERTO RICO COMWLTH GOVT DEV BK TAXABLE-SR NTS-SER B 4.704% 05/01/16 B/E DTD 05/26/11 TX EX FOR PR RSDNTS UNSOLICITED ORDER YLD 3.208 TO MAT	100,000.00	105.0250	1,960.00	-106,991.31
Total Amount of Trades Not Settled							-\$106,991.31

Recipient's Identification
Number: ***-**-6670

2012
YOUR TAX INFORMATION STATEMENT
As of 02/22/2013

Recipient's Name and Address:

003925 X58FND01

HECTOR LUIS SANTOS-DIAZ
URB EL ROCIO #37
CALLE LIMONCILLO
CAYEY PR 00736



Your Financial Consultant:

Francisco J. Fernandez
(787) 474-1993
ID: 175

Payer Information:

PERSHING, LLC

Federal Identification

Number: Redacted-1729

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Securities Purchased	
Net Cost of Securities Purchased	105,031.31
Accrued Interest Purchased	
U.S. Corporations	1,960.00
Total Accrued Interest Purchased	1,960.00

TRANSACTIONS WE DO NOT REPORT TO THE IRS

SECURITIES PURCHASED

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
ERTO RICO COMWLTH GOVT DEV BK XABLE-SR NTS-SER B 4.704% 05/01/16 B/E D 05/26/11	745177EX9	09/26/2012	100,000	105,031.31	1,960.00	U.S. Corporation
Total				105,031.31	1,960.00	

Securities Purchased. Securities purchased through your account during 2012 may be displayed in this section. You need this information to calculate a gain or loss upon disposition of the securities. The net cost displayed includes commissions and fees. If the security purchased is a debt instrument, the net cost does not include accrued interest purchased. Accrued interest purchased is reported separately in this section of your Tax Information Statement. The cost of securities purchased during 2012 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D.

See Information Statement Instructions



Pershing®

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One Pershing Plaza, Jersey City, NJ 07399
Pershing LLC, member FINRA, NYSE, SIPC

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ORIENTAL FINANCIAL SERVICES
ORIENTAL CENTER
MUNOZ RIVERA AVE. #254
HATO REY, PR 00918HECTOR LUIS SANTOS-DIAZ 1812 0664
URB EL ROCIO #37
CALLE LIMONCILLO
CAYEY PR 00736-0000Page: 1 of 1
Account Number: Redacted 5610-1
Amount Paid: \$881.19
Issuing Bank Acct: Redacted 1014**PAYMENT SUMMARY**CHECK NUMBER: 0005417438
CHECK DATE: 05/03/2019

DATE	DESCRIPTION	AMOUNT
12/04/2018	50437 GDB DEBT RECOVERY AUTH OF COMMLTH PUERTO RICO TAXABLE	\$42.03
	7.500% 08/20/40 B/EDTD 11/29/18 RD 12/03 PD 12/04/18	\$0.00
	TX EX FOR PR RSDNTS CUSIP 36829QAA3	\$0.00
12/04/2018	50437 GDB DEBT RECOVERY AUTH OF COMMLTH PUERTO RICO TAXABLE	\$42.03-
	7.500% 08/20/40 B/ERD 12/03 PD 12/04/18 TO CORRECT PAYMENT	\$0.00
	R/D IS 11/30/18 NOT CUSIP 36829QAA3	\$0.00
12/20/2018	62005 GDB DEBT RECOVERY AUTH OF COMMLTH PUERTO RICO TAXABLE	\$51.67
	7.500% 08/20/40 B/EDTD 11/29/18 RD 11/30 PD 12/03/18	\$0.00
	TX EX FOR PR RSDNTS CUSIP 36829QAA3	\$0.00
02/21/2019	GDB DEBT RECOVERY AUTH OF COMMLTH PUERTO RICO TAXABLE 7.500% 08/20/40 B/E	\$829.52
	RD 02/19 PD 02/20/19 INT PAID AT 16.4583350401	\$0.00
	CUSIP 36829QAA3	\$0.00
	NET AMOUNT	\$881.19

(Detach Here)

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AN AFFILIATE OF THE BANK OF NEW YORK MELLON

One Pershing Plaza, Jersey City, NJ 07399

Pershing LLC, member FINRA, NYSE, SIPC

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ORIENTAL FINANCIAL SERVICES CORP
997 SAN ROBERTO STREET
ORIENTAL TOWER 9TH FLOOR
SAN JUAN PR 00926**HECTOR LUIS SANTOS-DIAZ**
URB EL ROCIO #37
CALLE LIMONCILLO
CAYEY PR 00736-0000**3508 0145**Page:
Account Number:
Amount Paid:**1 of 1**
Redacted 5610-1
\$2,352.00

Issuing Bank Acct:

Redacted 1014**PAYMENT SUMMARY**CHECK NUMBER: **0005222810**
CHECK DATE: **05/03/2013**

DATE	DESCRIPTION	CUSIP	AMOUNT
11/13/2012	PUERTO RICO CASH & MNY MKT WITHHOLDING INCOME REDEEMED	74514R104	\$.01
	REFERENCE NO 00011, CREATED BY AMPS/DVDAWTF PRCH 74514R104		\$.00
05/01/2013	100000 PUERTO RICO COMWLTH GOVT DEV BK	TAXABLE-SR NTS-SER B	\$2,352.00
	4.704% 05/01/16 B/EDTD 05/26/11 RD 04/15 PD 05/01/13		\$.00
	TX EX FOR PR RSDNTS CUSIP 745177EX9		\$.00
05/03/2013	PREVIOUSLY UTILIZED FUNDS		\$.01-
	NET AMOUNT		\$2,352.00

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ORIENTAL FINANCIAL SERVICES CORP
997 SAN ROBERTO STREET
ORIENTAL TOWER 9TH FLOOR
SAN JUAN PR 00926HECTOR LUIS SANTOS-DIAZ 1926 0396
URB EL ROCIO #37
CALLE LIMONCILLO
CAYEY PR 00736-0000Page:
Account Number:
Amount Paid:
Issuing Bank Acct:1 of 1
Redacted 6610-1
\$2,352.00
Redacted 1014**PAYMENT SUMMARY**CHECK NUMBER: 0005348385
CHECK DATE: 05/03/2016

DATE	DESCRIPTION	AMOUNT
05/03/2016	100000 PUERTO RICO COMWLTH GOVT DEV BK TAXABLE-SR NTS-SER B	\$2,352.00
	4.704% 05/01/16 B/EDTD 05/26/11 F RD 04/15 PD 05/01/16	\$.00
	TX EX FOR PR RSDNTS CUSIP 745177EX9	\$.00
	NET AMOUNT	\$2,352.00

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